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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/822,999
Filing Date	April 13, 2004
First Named Inventor	Robert Alexander
Art Unit	3628
Examiner Name	TBA
Attorney Docket Number	56145473-115

Total Number of Pages in This Submission

**ENCLOSURES (Check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board  
of Appeals and Interferences☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☐ Other Enclosure(s) (please identify  
below):**Remarks**This correspondence is being transmitted via First Class Mail to the U.S.  
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Firm Name

Baker &amp; McKenzie LLP

Signature

Printed name

Frank M. Gasparo

Date

May 25, 2006

Reg. No.

44,700

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Typed or printed name

Frank M. Gasparo

Date

May 25, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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56145473-115

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert Alexander

Application No.: 10/822,999

U.S. Filing Date: April 13, 2004

Title: SYSTEM AND METHOD FOR PROCESSING AND FOR  
FUNDING A TRANSACTION

Group Art Unit: 3628

Examiner: TBA

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

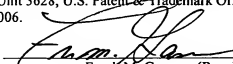
In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for the Applicant hereby bring the following materials to the examination of the above-identified application to the attention of the Examiner. The materials are listed on the attached PTO Form PTO/SB/08A. It is respectfully requested that the information be expressly considered during

06/02/2006 CNEGA1 00000004 020393 10822999  
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Frank M. Gasparo (Reg. No. 44,700)

the prosecution of this application, and that the materials be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This information disclosure statement is being filed under 37 C.F.R. §1.97(c), wherein to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained herein was known more than three months prior to the filing of this informational disclosure statement.

The Commissioner for Patents is hereby authorized to charge the fee set forth in § 1.17(p) to Deposit Account No. 02-0393 of Baker & McKenzie LLP.

Dated: May 25, 2006

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Frank M. Gasparo", is written over a horizontal line.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

## Complete if Known

Application Number	10/822,999
Filing Date	April 13, 2004
First Named Inventor	Robert Alexander
Art Unit	3628
Examiner Name	Hyung Sub Sough
Attorney Docket Number	56145473-114

Sheet 1 of 1

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/N.M./		us- 6,295,522	07-11-1997	Boesch	
		us- 6,505,772	06-22-2000	Mollett et al.	
		us- 2003/0233333	06-14-2002	Lee et al.	
		us- 2005/0192897	02-09-2005	Rogers et al.	
		us- 2006/0004655	06-30-2005	Alexandar, IV et al.	
		us- 2006/0026073	10-24-2005	Kenny, Jr. et al.	
		us- 2006/0036540	08-27-2004	Lawrence et al.	
/N.M./		us- 2005/0234817	04-16-2004	VanFleet et al.	
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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document Country Code <sup>3</sup> "Number" "Kind Code" (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
/N.M./		JP 2002-288570	04-02-2004	Izumu KK		
/N.M./		JP 2004-102787	10-04-2002	Bank of Tokyo ...		
/N.M./		WO 01/52211	05-18-2000	CCK Van Co.		

Examiner Signature	/Nancy Mehta/	Date Considered	03/31/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language translation is attached.

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